

# Explicating the mechanisms of land cover change in the New Eurasian Continental Bridge Economic Corridor region in the 21st century

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**Abstract:** Land cover change has presented clear spatial differences in the New Eurasian Continental Bridge Economic Corridor (NECBEC) region in the 21st century. A spatiotemporal dynamic probability model and a driving force analysis model of land cover change were developed to analyze explicitly the dynamics and driving forces of land cover change in the NECBEC region. The results show that the areas of grassland, cropland and built-up land increased by 114.57 million ha, 8.41 million ha and 3.96 million ha, and the areas of woodland, other land, and water bodies and wetlands decreased by 74.09 million ha, 6.26 million ha, and 46.59 million ha in the NECBEC region between 2001 and 2017, respectively. Woodland and other land were mainly transformed to grassland, and grassland was mainly transformed to woodland and cropland. Built-up land had the largest annual rate of increase and 50% of this originated from cropland. Moreover, since the Belt and Road Initiative (BRI) commenced in 2013, there has been a greater change in the dynamics of land cover change, and the gaps in the socio-economic development level have gradually decreased. The index of socio-economic development was the highest in western Europe, and the lowest in northern Central Asia. The impacts of socio-economic development on cropland and built-up land were greater than those for other land cover types. In general, in the context of rapid socio-economic development, the rate of land cover change in the NECBEC has clearly shown an accelerating trend since 2001, especially after the launch of the BRI in 2013.

**Keywords:** land cover change; driving forces; spatiotemporal dynamic probability model; integrated analysis model; New Eurasian Continental Bridge Economic Corridor

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## 1 Introduction

The dynamic pattern of land cover change, one of the most direct results of climate change and human activities on the Earth's surface system (Lawler *et al.*, 2014), has affected directly changes in the surface energy balance, the carbon cycle, the water cycle and species diversity (Foley *et al.*, 2005; Turner, 2007; Alkama and Cescatti, 2016), and furthermore it has impacted on local ecological safety, food safety and socio-economic sustainable development. Land cover change has caused changes in vegetation and soil carbon storage (Jackson *et al.*, 2002; Lai *et al.*, 2016) and altered local ecosystem services (Hopping *et al.*, 2018; Yalew *et al.*, 2018). Changes in the distribution of woodland and water bodies will affect the hydrological environment (Meneses *et al.*, 2015; Luo *et al.*, 2016) and the land surface temperature (Blois *et al.*, 2013; Deng *et al.*, 2018; Yue *et al.*, 2019).

Since the launch of the International Geosphere Biosphere Program (IGBP) and the International Human Dimensions Program (IHDP) in 1995, many researches have attempted to explain the driving forces and to predict the land cover change at different levels. Related studies show that human behavior together with local economic activity have played important roles in land cover change (Lambin *et al.*, 2001): an accelerating urbanization process has increased the degree of fragmentation and structural complexity of the desert landscape in central Arizona including the region around Phoenix (Jenerette and Wu, 2001); and comparative analysis of the urban medium-voltage networks (MV networks) and the distribution of urban land use may be used to explain the importance of technology in land cover change (Hasselmann *et al.*, 2010). The influence of climate change on urban land use will become more severe in the future (He *et al.*, 2015); human activities were found to be the key driving factors of land cover change in the Sanjiang Plain of China (Dan *et al.*, 2015); development strategies for urbanization and industrialization have had prominent impacts on land use change in China (Kuang *et al.*, 2016); the ownership and protection policies of land resources have affected land cover change (Zhao, 2016; Scharsich *et al.*, 2017), especially at the rural-urban peripheries (Shkarua *et al.*, 2017); and socio-economic factors, e.g., livestock farming, agriculture and market prices were found to be an important driving force of land cover change in rural Quindío in Colombia (Quintero-Gallego *et al.*, 2018). However, the aforementioned studies have focused mainly on the driving factors of land cover change in a single city and the rural-urban peripheries (Shkarua *et al.*, 2017), and they have lacked research on the impact of different urban development strategies on land cover change. For instance, the effect of international development policies, and co-operative plans or initiatives on land cover change between countries have rarely been mentioned.

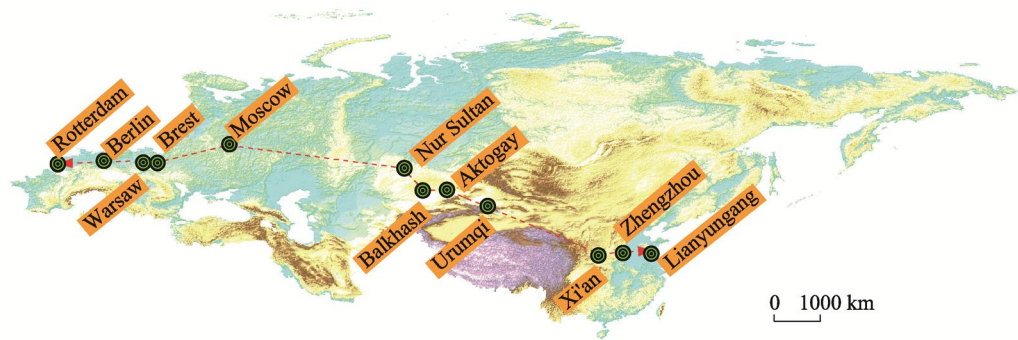
With the launch of the Belt and Road Initiative (BRI) in 2013, more and more countries have joined the BRI (Wen *et al.*, 2019). Much research has been undertaken to discuss the framework and model of cooperative development (Dong *et al.*, 2017) and the relationships between the participating countries in the BRI areas (Liu *et al.*, 2018; Zhang and Wu, 2018), especially in the New Eurasian Continental Bridge Economic Corridor (NECBEC) region (Zhang *et al.*, 2018). However, there is still a lack of explicit analysis and discussion regarding the dynamic pattern and driving force of land cover change which would be very beneficial to the scientific planning and efficient utilization of land resources in the NECBEC region (Fan and Li, 2019).

To understand explicitly the dynamic changes of land cover in the NECBEC region, a dynamic probability model of land cover change was developed to calculate quantitatively the interannual rate of change of each land cover type and its spatiotemporal dynamic probability (STDP) on a grid scale since the start of the 21st century. Moreover, an integrated analysis model of driving forces on land cover change was developed to compute the contribution coefficients for land cover change with respect to different socio-economic factors, to analyze the spatiotemporal agglomeration index of socio-economic development, and to explain the mechanisms for land cover change in the countries in the NECBEC region from 2001 to 2017.

## 2 Data and methods

### 2.1 Study area and data

The NECBEC connects Chinese exporters to European markets, eastward from Lianyungang city of Jiangsu province in China, and westward to the port of Rotterdam in the Netherlands, and involves 28 countries—China, the Russian Federation, Kyrgyzstan, Kazakhstan, Turkey, Turkmenistan, Iran, Uzbekistan, Belarus, Germany, the Netherlands, Poland, France, Slovakia, Austria, Hungary, Switzerland, Slovenia, Romania, Serbia, Ukraine, Bosnia and Herzegovina, Croatia, Bulgaria, Montenegro, Georgia, Azerbaijan and Mongolia (Figure 1). The whole NECBEC region is about 5,071 km<sup>2</sup> and covers more than 36% of the total area of the Earth’s land surface. There are more than 4.2 billion people inhabiting this region, accounting for 75% of the world’s population (Igbinoba, 2018). The section of the NECBEC in China covers the areas along the Longhai and Lanxin railways and from where there are three routes which connect with the Dutch port of Rotterdam (Karrar, 2016).



**Figure 1** The New Eurasian Continental Bridge Economic Corridor (NECBEC) region

The land cover data used to analyze the dynamics of land cover change in the NECBEC region were collected from the MODIS dataset of the NASA website (<https://www.nasa.gov>) in 2001, 2005, 2009, 2013 and 2017 with a spatial resolution of 500 m × 500 m. The socio-economic data of countries along the NECBEC region were collected from the Agriculture Organization of the United Nations (<http://www.fao.org>) and the World Bank Group (<https://data.worldbank.org>), including eight data variables of GDP ( $V_1$ ), urban population ( $V_2$ ), railway traffic mileage ( $V_3$ ), population density ( $V_4$ ), population of service industry ( $V_5$ ), value added from agriculture ( $V_6$ ), value added from industry ( $V_7$ ) and GDP

per unit of energy use (purchasing power parity USD of per kg oil equivalent) ( $V_8$ ) during the period from 2001 to 2017. The land cover types were reclassified into woodland, grassland, cropland, built-up land, wetlands and waterbodies, and other land, and were merged with the land cover classification system of the University of Maryland (UMD) and that of several researchers (Fan *et al.*, 2013; Zeng *et al.*, 2018) through use of ArcGIS software and Python and R programming languages.

2.2 Spatiotemporal dynamic probability model of land cover change

How to identify quantitatively the annual change intensity of land cover is important from the standpoint of improving the adaptation strategies used to mitigate (and in turn benefit from) the effect of climate change on the projected impact on land use (Rounsevell and Reay, 2009). The dynamic degree indicator was introduced to calculate the rates of land cover change (Lawler *et al.*, 2014), which may be formulated as:

$$D_k = (S_{t+1} - S_t) / S_t \times \frac{1}{Y_{t+1} - Y_t} \times 100\%$$
 (1)

where  $D_k$  represents the annual dynamic degree of land cover type  $k$  during the period from  $t$  to  $t+1$ ,  $S_t$  and  $S_{t+1}$  are the areas of a certain land cover type at periods  $t$  and  $t+1$ , respectively,  $Y_t$  and  $Y_{t+1}$  are the years at periods  $t$  and  $t+1$ , respectively. To describe explicitly the spatiotemporal conversion pattern of land cover, a spatiotemporal conversion matrix was developed to compute the probability of interchange between the various land cover types during the different periods (Table 1).

Table 1 Overview of the conversion probability matrixes of land cover

T <sub>1</sub>	T <sub>2</sub>				
	LC <sub>1</sub>	LC <sub>2</sub>	LC <sub>3</sub>	...	LC <sub>n</sub>
LC <sub>1</sub>	P <sub>11</sub>	P <sub>12</sub>	P <sub>13</sub>	...	P <sub>1n</sub>
LC <sub>2</sub>	P <sub>21</sub>	P <sub>22</sub>	P <sub>23</sub>	...	P <sub>2n</sub>
LC <sub>3</sub>	P <sub>31</sub>	P <sub>32</sub>	P <sub>33</sub>		P <sub>3n</sub>
...	...	...	...	...	
LC <sub>n</sub>	P <sub>n1</sub>	P <sub>n2</sub>	P <sub>n3</sub>	...	P <sub>nn</sub>

Notes: T<sub>1</sub> and T<sub>2</sub> are the different periods; LC<sub>1</sub>, LC<sub>2</sub>, LC<sub>3</sub>, ..., LC<sub>n</sub>, respectively, represent the land cover types; P is the transition probability of land cover.

Land cover change is a complex process which is affected by the continuous interaction of various natural elements and human activities (Fan *et al.*, 2013). If research on the driving mechanisms for land cover change is limited to only some regions and administrative units at a certain period, and ignores the grid heterogeneity and interannual uncertainty of land cover change, it would become exceedingly challenging to understand the driving forces of land cover change and the annual dynamic degree of land cover at the grid level. In this study, a new STDP model was developed to compute the STDP of land cover change at the grid level in the NECBEC region based on the land cover data from 2001 to 2017. The STDP model can be formulated as:

$$DT_k = \left( \left| \Delta S_{k,in} \right| + \left| \Delta S_{k,out} \right| \right) / S_{k,t}$$
 (2)

$$DW_k = \begin{cases} DT_k / \sum_{i=1}^{i=6} DT_i, & DT_k < 1 \\ 1, & DT_k \geq 1 \end{cases} \quad (3)$$

$$DM(x, y)_k = \left\{ \frac{1}{T}, 0 \right\} \quad (4)$$

$$STDP(x, y)_k = \sum_{j=1}^{j=m} DW_k \times DM(x, y)_{k,j} \quad (5)$$

where  $x, y$  is the coordinate of grid cell,  $k$  is a certain type of land cover,  $t$  is time,  $i$  is the type code of land cover whose value is from 1 to 6,  $j$  is the value of the annual interval between the periods  $t$  and  $t+1$ ;  $DT_k$  and  $DW_k$  represent the dynamic trend and the weight index of the land cover type  $k$ , respectively, between  $t$  and  $t+1$ ;  $\Delta S_{k,in}$  and  $\Delta S_{k,out}$  are the increase and decrease areas of land cover type  $k$ , respectively, between  $t$  and  $t+1$ ;  $S_{k,t}$  is the total area of land cover type  $k$  for the period  $t$ ;  $DT_i$  is the dynamic trend of the  $i$ th land cover type between  $t$  and  $t+1$ ;  $DM(x, y)_k$  represents the annual change index of land cover type  $k$  at grid  $(x, y)$  between  $t$  and  $t+1$ ;  $T$  is the time interval between  $t$  and  $t+1$ ;  $STDP(x, y)_k$  represents the integrated spatiotemporal dynamic probability of land cover type  $k$  at grid  $(x, y)$  between  $t$  and  $t+1$  and whose value ranges from 0 to 1.

The operational process of the STDP model includes the following major steps: Step 1, obtaining the  $DT_k$ ,  $DW_k$  and  $DM(x, y)_k$  by, respectively, applying equations (2), (3) and (4) in terms of the land cover data at periods  $t$  and  $t+1$ ; Step 2, identifying whether the land cover type  $k$  at grid  $(x, y)$  changes or not from period  $t$  to  $t+1$ ; if yes, the grid factor weight value is  $1/T$ , else, the grid factor weight value is 0; Step 3, identifying whether the land cover type  $k$  at grid  $(x, y)$  changes or not in each annual time interval between periods  $t$  and  $t+1$ ; if yes, the STDP value of land cover type  $k$  at grid  $(x, y)$  is 1, else, the STDP value of land cover type  $k$  at grid  $(x, y)$  is summed in terms of each annual interval  $DW_k$  and  $DM(x, y)_k$  value of land cover type  $k$  at grid  $(x, y)$  between periods  $t$  and  $t+1$ ; and Step 4, repeating Step 3 until all grid cells of land cover type  $k$  are calculated.

### 2.3 Integrated analysis model of driving forces on land cover change

For analyzing explicitly the relationship between land cover change and socio-economic development level in the NECBEC region, a synthesis score index was developed to compute the contribution rate of the driving forces to the socio-economic development level using the principal component analysis (PCA) method, which can be formulated as:

$$SSI = \sum_{u=1}^{u=g} Z_u \times \frac{\lambda_u}{\sum_{u=1}^{u=g} \lambda_u} \quad (6)$$

where  $SSI$  represents the synthesis score of each country among the NECBEC region;  $u$  is the major principal component code that represents the selected number ( $g$ ) of driving factors with the PCA method whose information content covers more than 85% of all the original driving factors;  $Z_u$  is the score of the  $u$ th principal component;  $\lambda_u$  is the contribution

rate of the  $u$ th principal component of all the original driving factors.

Moran's index (Moran, 1950; Ray *et al.*, 1984) was introduced to identify the location of spatial clusters of socio-economic development in the countries of the NECBEC region. On the basis of the synthesis score for socio-economic development in the countries of the NECBEC region, the global Moran's index was used to calculate the cluster level of the whole NECBEC region, and the Local Indicators of Spatial Association (LISA) index was used to calculate the LISA cluster level of every country in the NECBEC region.

Moreover, to explain the impacts of socio-economic development on land cover change, the geodetector method (Wang *et al.*, 2010) was used to analyze the driving mechanisms of land cover change based on the K-means clustering factor. The geodetector method is a set of statistical methods for detecting spatial differentiation and revealing the driving factors (Wang *et al.*, 2016), and may be used to measure the spatial differentiation of land cover change based on the spatial data of land cover and socio-economic factors. The expression for the driving factor detection index ( $q$ ) can be expressed as:

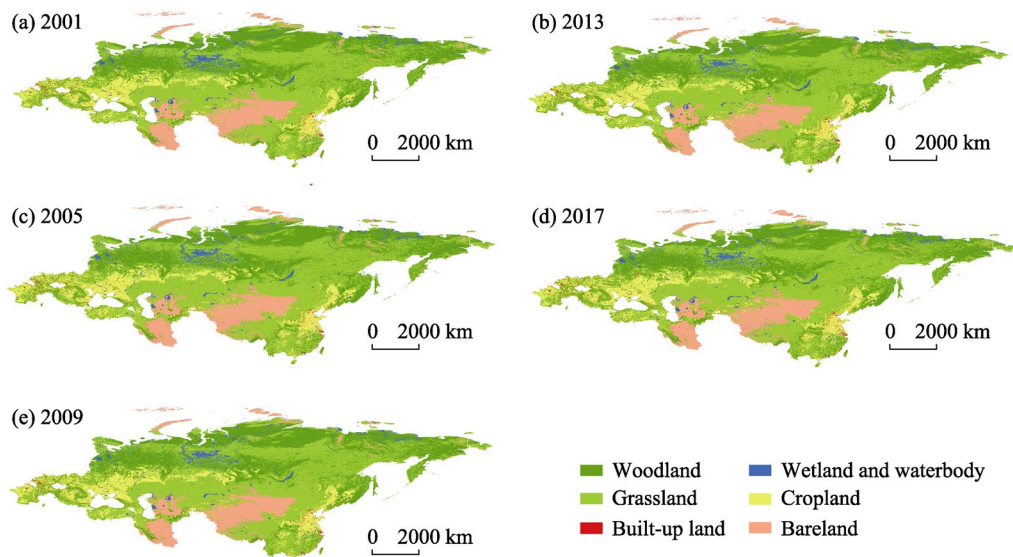
$$q = 1 - \frac{1}{N\sigma^2} \sum_h^L N_h \sigma_h^2 \quad (7)$$

where  $q$  represents the driving factor detection index for the spatial differentiation of the land cover change,  $N_h$  is the number of sample units in the sub-region;  $N$  is the number of sample units in whole region;  $L$  is the number of sub-regions;  $\sigma^2$  and  $\sigma_h^2$  are the variances of land cover change STDPs in the whole region and the sub-region, respectively. The value interval of the  $q$  index is [0,1]. When  $q = 0$ , this is an indication that the STDP is randomly distributed. The larger the value of  $q$ , the greater is the impact of the influence of the socio-economic factors.

### 3 Results

#### 3.1 Spatial distribution of land cover in the NECBEC region

The distribution of all land cover types showed the following characteristics of change in the NECBEC region (Figure 2) during the period 2001 to 2017. The area of grassland covered 44.06%–45.01% of the total area of the NECBEC and was mainly distributed in the Inner Mongolia Plateau, the Qinghai-Tibet Plateau and the southern hilly region in China, the Central Siberian Plateau and the Eastern Siberian Mountains in Russia, the northern part of Mongolia and the semi-arid area of Kazakhstan. The area of woodland accounted for 33.51%–34.28% of the total area and was mainly distributed in the Eastern European Plains and the northern part of Russia, the northeastern and southeastern hilly zones of China, and western Europe. The area of other land occupied 8.85%–9.24% of the total area and was distributed in northwestern China, southern Mongolia, the Iranian Plateau and the Karakum Desert and the Kyzylkum Desert of Central Asia. The area of cropland only accounted for 7.84%–8.00% of the total area and was distributed in the Northeast China Plain, the North China Plain, the Middle-Lower Yangtze River Plains and the Sichuan Basin of China, western Europe and southwestern Russia. The area of built-up land covered just less than 0.5% of the total area and was mainly associated with cropland.



**Figure 2** The spatial patterns of land cover in the NECBEC region from 2001 to 2017

**3.2 Spatiotemporal change of land cover in the NECBEC region**

Regarding the results gained by analyzing the area of each land cover type of the NECBEC region in 2001, 2005, 2009, 2013 and 2017 (Figure 2), the transformed area and rate of change of land cover that were obtained were used to explain the significant spatiotemporal differences during the period from 2001 to 2017 (Table 2). The areas of grassland, cropland and built-up land all showed an expansionary trend and increased by 114.57 million ha, 8.41 million ha and 3.96 million ha, respectively; the annual rate of increase for built-up land was the highest, and that of cropland was the lowest between 2001 and 2017. The grassland was mainly converted to woodland and accounted for 69.72% of the total increase in the woodland area; however, the woodland was mainly converted to grassland and accounted for 70% of the total increase in grassland that was distributed centrally in the temperate continental climate zone, the Mediterranean climate zone, and the transition zone between woodland and grassland in Russia; these transitions indicated that the changes from grassland to woodland and *vice versa* occurred most easily in the NECBEC region. The cropland was mainly converted to built-up land and accounted for 50% of the total area of the increased built-up land, which was mainly distributed in the eastern coastal areas and the northern slopes of the Tianshan Mountains, and in Turkey, Azerbaijan and Russia. About 98% of the total increase in the area of cropland was transformed from grassland, and was mainly distributed in the northwest of China and the eastern region of Europe. However, the areas of woodland, water bodies and wetlands, and other land all showed a decreasing trend, decreasing by 74.09 million ha, 46.59 million ha, and 6.26 million ha, respectively, in the NECBEC region during the period from 2001 to 2017.

To better understand the trends in the land cover changes in the NECBEC region, the rates of change of area for all land cover types were calculated for the four periods of 2001–2005, 2005–2009, 2009–2013 and 2013–2017 (Table 2). The results for comparative analysis show that the spatial distribution of land cover change in the NECBEC region showed a distinctly

different pattern between 2001–2013 and 2013–2017. Except for grassland, the intensity of change for every land cover type in 2013–2017 was more than that for any of the other three periods. The areas of cropland decreased in the two periods of 2005–2009 and 2009–2013, but increased in 2013–2017, especially in Montenegro. The areas of water bodies and wetlands showed a continuous decreasing trend from 2001–2013, while there was an increase, especially in Slovenia which had the highest rate of increase since 2013. The areas of built-up land all increased, especially in China, but the areas of other land all decreased during the four time periods. In general, the intensity of land cover change was the largest in 2013–2017, and the transformations of the land cover types showed a similar direction for the different types of land cover in the four time periods.

### 3.3 The dynamic probability of land cover change

The results (Table 3) of the STDP model for all land cover types, except built-up land,

**Table 2** Conversion matrix of annual change of land cover type (area: million ha; %)

Land cover type	Period	Woodland	Grassland	Cropland	Wetlands and water bodies	Built-up land	Other land
Woodland	2001–2005	–	17815.9 (97.75)	35.5 (0.19)	309.1 (1.70)	0.1 (0.00)	64.5 (0.35)
	2005–2009	–	15187.7 (97.23)	25.0 (0.16)	202.2 (1.29)	0.2 (0.00)	205.8 (1.32)
	2009–2013	–	18480.2 (98.07)	20.4 (0.11)	222.5 (1.18)	0.1 (0.00)	121.5 (0.64)
	2013–2017	–	25081.0 (94.72)	22.3 (0.08)	1178.1 (4.45)	0.2 (0.00)	197.7 (0.75)
	2001–2017	–	45012.2 (96.90)	88.1 (0.19)	1012.5 (2.18)	0.7 (0.00)	336.7 (0.72)
Grassland	2001–2005	15218.1 (68.86)	–	4248.4 (19.22)	1336.1 (6.05)	43.2 (0.20)	1253.8 (5.67)
	2005–2009	18370.5 (75.74)	–	3385.5 (13.96)	1225.6 (5.05)	57.8 (0.24)	1215.6 (5.01)
	2009–2013	17436.9 (73.95)	–	3216.0 (13.64)	1242.4 (5.27)	57.0 (0.24)	1628.3 (6.91)
	2013–2017	17360.6 (60.78)	–	5207.7 (18.23)	4005.6 (14.02)	73.5 (0.26)	1916.7 (6.71)
	2001–2017	36728.9 (69.72)	–	9025.4 (17.13)	4102.0 (7.79)	186 (0.35)	2637.3 (5.01)
Cropland	2001–2005	34.5 (0.97)	3452.6 (97.61)	–	5.8 (0.16)	43.4 (1.23)	1.0 (0.03)
	2005–2009	38.1 (0.96)	3894.1 (97.86)	–	5.6 (0.14)	38.8 (0.97)	2.8 (0.07)
	2009–2013	46.1 (1.03)	4405.0 (97.93)	–	3.8 (0.09)	34.1 (0.76)	9.2 (0.20)
	2013–2017	53.6 (1.61)	3211.5 (96.62)	–	23.8 (0.72)	31.5 (0.95)	3.3 (0.10)
	2001–2017	201.1 (2.42)	7871.5 (94.55)	–	48.4 (0.58)	190.4 (2.3)	13.6 (0.16)
Wetland and water bodies	2001–2005	1021.2 (25.36)	2833.6 (70.38)	1.0 (0.02)	–	3.9 (0.10)	166.7 (4.14)
	2005–2009	694.0 (22.59)	2190.2 (71.29)	1.4 (0.04)	–	1.3 (0.04)	185.2 (6.03)
	2009–2013	600.5 (19.16)	2382.5 (76.04)	1.1 (0.03)	–	0.6 (0.02)	148.7 (4.74)
	2013–2017	246.6 (13.96)	1271.4 (71.95)	1.0 (0.06)	–	0.2 (0.01)	247.8 (14.02)
	2001–2017	1639.5 (24.10)	4997.7 (73.45)	4.3 (0.06)	–	8.6 (0.13)	154.0 (2.26)
Other land	2001–2005	176.6 (6.49)	2257.8 (83.02)	3.2 (0.12)	277.0 (10.19)	5.1 (0.19)	–
	2005–2009	99.0 (3.52)	2462.4 (87.67)	2.4 (0.09)	242.7 (8.64)	2.1 (0.07)	–
	2009–2013	226.5 (8.04)	2274.0 (80.74)	3.6 (0.13)	311.3 (11.05)	1.0 (0.04)	–
	2013–2017	137.8 (3.74)	2756.5 (74.85)	5.2 (0.14)	781.3 (21.21)	2.1 (0.06)	–
	2001–2017	471.2 (6.04)	6255.4 (80.19)	48.2 (0.62)	1015.2 (13.01)	10.3 (0.13)	–

Notes: To the express format A(B), A and B are, respectively, the area and the percentage of the land cover type in the columns transformed to the land cover type in the rows in a certain period.



revealed the possibility of change during the four periods of 2001–2005, 2005–2009, 2009–2013 and 2013–2017; the STDP value for grassland was the largest on average, followed by woodland and then cropland. The annual area of water bodies and wetlands transformed was the least, but the STDP for the unchanged water bodies and wetlands was more than that for the other land cover types in 2001–2005. The STDP for the unchanged other land area was less than those for other land cover types during the four periods. Furthermore, the STDP values for cropland, and the water bodies and wetlands showed a decreasing trend during the three periods of 2001–2005, 2005–2009 and 2009–2013, the exception being 2013–2017, where there was an increase in area; in contrast, however, the respective areas that were possibly transformed showed an increasing trend in all periods. The STDP values for woodland, grassland and cropland in 2013–2017, in general, showed a decreasing trend, but the areas possibly transformed exhibited a rapidly increasing trend, which indicated their stability was reduced from 2013 to 2017.

**Table 3** The possible transformed areas and the STDP values for a certain land cover type (area: million ha; STDP: %)

Period	Woodland		Grassland		Cropland		Wetlands and water bodies		Other land	
	Possible transformed area	STDP	Possible transformed area	STDP	Possible transformed area	STDP	Possible transformed area	STDP	Possible transformed area	STDP
2001–2005	1916.2	0.10	2803.04	0.11	390.26	0.10	179.82	0.14	245.39	0.04
	2.81	0.15	5.82	0.16	0.41	0.14	1.07	0.20	2.03	0.07
	8.40	0.21	14.85	0.21	3.68	0.21	0.79	0.27	1.55	0.09
Total area	1927.42		2823.71		394.34		181.68		248.96	
2005–2009	1723.46	0.10	2546.42	0.11	437.34	0.09	230.51	0.12	198.98	0.05
	0.92	0.16	2.62	0.17	0.16	0.14	2.84	0.18	2.13	0.07
	8.84	0.21	13.74	0.22	2.86	0.19	1.00	0.24	1.19	0.10
Total area	1733.21		2562.78		440.36		234.38		202.29	
2009–2013	1543.55	0.10	3217.59	0.11	547.54	0.09	265.27	0.12	213.29	0.05
	1.09	0.16	3.05	0.17	1.25	0.14	3.36	0.18	1.45	0.08
	8.89	0.21	13.72	0.22	9.32	0.19	1.36	0.24	0.79	0.09
Total area	1553.53		3234.35		558.11		277.32		215.53	
2013–2017	4560.28	0.10	7336.49	0.10	956.84	0.08	473.89	0.15	884.55	0.05
	4.82	0.15	11.22	0.15	0.76	0.12	5.55	0.23	10.1	0.08
	29.49	0.19	43.04	0.20	10.7	0.16	3.30	0.30	4.34	0.10
Total area	4594.59		7412.22		968.29		482.73		898.98	

### 3.4 Socio-economic development level in the countries of the NECBEC region

The integrated socio-economic development level and the level of spatiotemporal clustering (Table 4) were obtained from the calculation of the synthesis score index and the Moran's index. The results show that the value of the global Moran's index of socio-economic development exhibited a trend whereby from the year 2001 it increased at first and then decreased, thus indicating that the spatial difference of socio-economic development in the whole NECBEC region decreased first and then increased, and then continued to increase in

those countries having a higher comprehensive economic development score. Although the economic development level of each country in the NECBEC region has clear spatiotemporal differences, there is no significant change in the location of the distribution of the high-high and low-low cluster zones, which are distributed in western Europe (Switzerland, Germany, the Netherlands, France, and Austria) and northern Central Asia (Uzbekistan, Kyrgyzstan, Turkmenistan, etc.), respectively. The rates of the integrated socio-economic development level in Turkmenistan, China, Azerbaijan and Romania were higher than for other countries along the NECBEC from 2001 to 2017; especially, in 2017 the economic development level for Turkmenistan was classified into a high-low cluster zone. However, the integrated socio-economic development level of Ukraine, Russia, Iran and Belarus has shown a slight downward trend since 2001.

**Table 4** The socio-economic development and LISA cluster levels of different countries in the NECBEC region

Country	Synthesis score of socio-economic development					LISA cluster level				
	2001	2005	2009	2013	2017	2001	2005	2009	2013	2017
China	-0.65	0.28	0.23	0.2	0.22	-	-	-	-	-
Russia	0.99	-0.32	-0.15	-0.04	0.19	-	-	-	-	-
Kyrgyzstan	-1.41	-1.13	-1.23	-1.27	-1.16	L-L	L-L	L-L	L-L	L-L
Kazakhstan	0.04	-0.29	-0.27	-0.29	-0.21	-	-	-	-	-
Turkey	0.06	0.19	0.2	0.41	0.32	-	-	-	-	-
Turkmenistan	-0.61	-1.09	-0.26	0.01	0.55	L-L	L-L	-	-	L-H
Iran	0.32	0.3	0.16	-0.02	-0.55	-	-	-	-	-
Uzbekistan	-1.15	-1.33	-1.23	-1.21	-1.36	L-L	L-L	-	-	-
Belarus	0.44	-0.16	-0.21	-0.09	-0.19	-	-	-	-	-
Germany	0.88	0.99	0.95	0.89	0.88	H-H	H-H	H-H	H-H	H-H
The Netherlands	0.9	0.63	0.73	0.69	0.68	H-H	H-H	H-H	H-H	H-H
Poland	0.24	0.31	0.23	0.22	0.24	-	-	-	-	-
France	0.84	0.77	0.73	0.64	0.64	H-H	H-H	H-H	H-H	H-H
Slovakia	0.11	0.22	0.12	0.08	0.09	-	-	-	-	-
Austria	0.31	0.8	0.66	0.57	0.47	-	H-H	-	-	-
Hungary	0.38	0.21	0.18	0.24	0.23	-	-	-	-	-
Switzerland	0.79	1.13	1.23	1.27	1.15	H-H	H-H	H-H	H-H	H-H
Slovenia	-0.12	0.53	0.24	0.22	0.19	-	H-H	-	-	-
Romania	-0.4	-0.06	0.14	0.16	0.05	-	-	-	-	-
Serbia	-0.4	-0.22	-0.47	-0.46	-0.36	-	-	-	-	-
Ukraine	0.31	-0.52	-0.64	-0.78	-0.73	-	-	-	-	-
Bosnia and Herzegovina	-0.74	-0.06	-0.49	-0.6	-0.64	-	-	-	-	-
Croatia	-0.13	0.29	0.08	0.08	0.05	-	-	-	-	-
Bulgaria	0.33	-0.19	-0.06	-0.07	0.04	-	-	-	-	-
Montenegro	0.04	-0.17	-0.19	-0.27	-0.22	-	-	-	-	-
Georgia	-0.7	-0.29	-0.49	-0.56	-0.54	-	-	-	-	-
Azerbaijan	-0.18	0.01	0.74	0.71	0.5	-	-	-	-	-
Mongolia	-0.51	-0.81	-0.93	-0.73	-0.53	-	-	-	-	-

### 3.5 Effect of key socio-economic factors on land cover change

For explicating the effect of the key socio-economic factors on land cover change in the NECBEC region, the contribution coefficients of each factor to every land cover type were computed by operating the K-means clustering and geodetector methods during the periods from 2001 to 2005, 2005 to 2009, 2009 to 2013, and 2013 to 2017 (Table 5). The results show that the impacts of most socio-economic factors on land cover change have, in general, shown an increasing trend in the NECBEC region since the beginning of the 21st century. The population density ( $V_4$ ), population of service industry ( $V_5$ ) and GDP unit energy use ( $V_8$ ) had a fluctuating influence on the change in cropland but overall a clear upward trend was revealed. The urban population ( $V_2$ ), value added from agriculture ( $V_6$ ) and value added from industry ( $V_7$ ) had a relatively weak impact on cropland change, of which the impact of  $V_7$  on cropland change was larger than that of  $V_2$  and  $V_7$ . The GDP ( $V_1$ ) and traffic mileage ( $V_3$ ) had a significant impact on the change of built-up land which was larger than the effect of other variables. The impact on the change in woodland driven mainly by  $V_3$ ,  $V_4$ ,  $V_5$ ,  $V_6$  and  $V_7$  exhibited an increasing trend from 2001 to 2017, in which the impact of  $V_4$  on woodland was the largest which meant that the intensity of disturbance of  $V_4$  on the change

**Table 5** Contribution coefficients of the different socioeconomic factors on land cover change

Land cover types	Time interval	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$	$V_7$	$V_8$
Woodland	2001–2005	0.04	0.26	0.02	0.29	0.11	0.20	0.15	0.3
	2005–2009	0.05	0.18	0.05	0.38	0.45	0.37	0.15	0.04
	2009–2013	0.13	0.92	0.04	0.93	0.23	0.04	0.14	0.04
	2013–2017	0.04	0.05	0.03	0.36	0.17	0.27	0.26	0.20
Grassland	2001–2005	0.09	0.28	0.06	0.02	0.07	0.08	0.24	0.23
	2005–2009	0.01	0.19	0.02	0.01	0.12	0.14	0.21	0.39
	2009–2013	0.49	0.11	0.50	0.08	0.16	0.11	0.10	0.09
	2013–2017	0.06	0.14	0.06	0.03	0.08	0.05	0.26	0.27
Cropland	2001–2005	0.10	0.16	0.07	0.10	0.08	0.49	0.17	0.09
	2005–2009	0.05	0.05	0.04	0.20	0.26	0.24	0.36	0.10
	2009–2013	0.08	0.11	0.05	0.15	0.13	0.30	0.23	0.07
	2013–2017	0.07	0.09	0.07	0.16	0.17	0.19	0.08	0.10
Wetlands and water bodies	2001–2005	0.01	0.06	0.04	0.10	0.11	0.16	0.15	0.20
	2005–2009	0.01	0.08	0.02	0.06	0.07	0.20	0.18	0.10
	2009–2013	0.06	0.05	0.05	0.09	0.15	0.11	0.16	0.14
	2013–2017	0.06	0.15	0.05	0.21	0.17	0.14	0.07	0.15
Built-up land	2001–2005	1.00	0.22	1.00	0.09	0.22	0.09	0.31	0.05
	2005–2009	1.00	0.21	1.00	0.09	0.21	0.09	0.30	0.05
	2009–2013	1.00	0.08	1.00	0.08	0.09	0.06	0.48	0.08
	2013–2017	0.99	0.05	1.00	0.08	0.06	0.08	0.09	0.08
Other land	2001–2005	0.12	0.09	0.03	0.16	0.20	0.13	0.10	0.31
	2005–2009	0.07	0.16	0.07	0.18	0.40	0.19	0.31	0.13
	2009–2013	0.11	0.04	0.05	0.18	0.06	0.18	0.11	0.05
	2013–2017	0.01	0.31	0.02	0.07	0.06	0.11	0.04	0.07

in woodland was higher than those of the other impact variables operating in the NECBEC region. The impact of  $V_8$  on the change in grassland was higher than that of the other variables. Moreover, the impact on the water bodies and wetlands and other land change driven by the  $V_1$ ,  $V_3$  and  $V_4$  variables, in general, exhibited an increasing trend from 2001 to 2017, especially in the period from 2013 to 2017.

## 4 Discussion

Due to the combined and synergistic effects of climate change and human activities, the dynamic pattern of land cover in the NECBEC region has clearly changed in the first two decades of the 21st century, especially since the start of the BRI in 2013 (Fan *et al.*, 2020).

### 4.1 Impacts of climate change on land cover change

Changes in land cover play a key role in maintaining the human living environment and sustaining development (Fan *et al.*, 2020), both of which are major indicators of global change on the Earth's surface (Willis *et al.*, 2018; Fan and Fan, 2019). Climate change, as an important aspect of global change (Yue *et al.*, 2016), has caused a series of changes in the spatiotemporal distribution of vegetation (Scholze *et al.*, 2006; Yue *et al.*, 2006; Faour *et al.*, 2018; Yue, 2020), which then leads to a corresponding series of land cover changes (Fan *et al.*, 2020).

Due to the influence of increases in temperature and decreases in the mean precipitation (Faour *et al.*, 2018; Fan *et al.*, 2019), especially the increased frequency of extreme drought and precipitation events in the NECBEC region (Miao *et al.*, 2015; Yue, 2016; Dyderski *et al.*, 2018), the distributions of woodland, grassland, wetlands and water bodies, and other land, which are mainly affected by climate change and less disturbed by human activities (Fan and Fan, 2019; Fan *et al.*, 2020), exhibited relatively different changing trends between 2001 and 2017. The areas of woodland decreased from 2001 to 2005, and increased from 2005 to 2009, and then decreased from 2009 to 2017, with an overall decrease of 0.14% per year. The areas of grassland increased from 2001 to 2005, and decreased from 2005 to 2009, and then increased from 2009 to 2017, with an average growth of 0.17% per year. The wetlands and water body areas decreased continuously from 2001 to 2013, and then increased from 2013 to 2017, and in general, decreased by 0.10% overall per year. The areas of other land showed a continuously decreasing trend with an overall decrease of 0.32% per year from 2001 to 2017, in which about 80% of this decrease in other land was transformed to grassland and being mainly distributed in the Loess Plateau and the Tibetan Plateau of China, and the Central Asia and Iran zones. The analysis results show that precipitation and the drought index were the key drivers of natural vegetation change (Hu and Hu, 2019) which directly affected the changes in the distribution of woodland, grassland, wetlands and water bodies, and other land; also, there were significant changes in the natural vegetation for areas experiencing extreme climates, with seasonal and spatial differences occurring (Song *et al.*, 2018; Chen *et al.*, 2019; Hu *et al.*, 2019).

### 4.2 Effects of human activities on land cover change

With the advancement of new technologies, people's ability to transform and shape the

natural environment has been significantly enhanced, and various socio-economic development factors have gradually increased the effects on land cover change (Foley *et al.*, 2005; Fan *et al.*, 2015; Li *et al.*, 2015). The analyzed results show that the impacts of most socio-economic factors on land cover change have generally resulted in an increasing trend in the NECBEC region since the start of the 21st century. The impacts on wetlands and water bodies, and other land changes associated with the GDP, railway traffic mileage and population density have shown an accelerating trend after 2013.

Moreover, as a result of the diverse interactions between human societies and new technologies, different major driving factors arise for different types of land cover changes (Liu *et al.*, 2010). The socio-economic development level of each country in western Europe and the northern part of Central Asia did not show a significant change in the high-high cluster and low-low cluster zones during the period from 2001 to 2013, but in the context of globalization, especially with the implementation of the BRI, more and more international economic activities and investments (e.g., infrastructure, agriculture and tourism) have occurred in the NECBEC region (Liu *et al.*, 2018; Hjalager, 2020; Lu *et al.*, 2020), which has directly led to a series of land cover changes (Dong *et al.*, 2017). The intensity of change for land cover types in the NECBEC region in the period from 2013 to 2017 was greater than for the other three periods, which is consistent with a gradual but significant impact of most socio-economic factors on land cover change (Zhang *et al.*, 2018), especially for changes in built-up land and cropland.

Sustainable land management, targeted measures for alleviation of poverty and integrated urban/town development are key issues for the developing countries in the NECBEC region. Thus, with further deepening of cooperation among the BRI countries, maintaining sustainable use of limited land resources, preventing ecological degradation and reducing environmental pollution are the challenges that require more attention in the next cooperative framework of the BRI.

## 5 Conclusions

A STDP model and an integrated analysis model of the driving forces for land cover change have been developed in this study in an attempt to explicate the dynamic changes of land cover and the contribution coefficients of various socio-economic factors operating in the NECBEC region.

The areas of grassland, cropland and built-up land in the NECBEC region increased by 114.57 million ha, 8.41 million ha and 3.96 million ha between 2001 and 2017, respectively. The areas of woodland, other land, and water bodies and wetlands decreased by 74.09 million ha, 6.26 million ha, and 46.59 million ha, respectively. The grassland had the highest probability of transformation compared to the other land cover types, and the built-up land exhibited the largest annual increase rate due, in the main, to the transformation of cropland.

Compared with the periods before 2013, the trends for the annual change of cropland, woodland, built-up land, other land, and water bodies and wetlands increased between 2013 and 2017. The potential probabilities of change for the different land cover types were different in different periods, whereby the unchanged zone for grassland had the largest area of potential change. The potential stabilities of the water bodies and wetlands, and other land, in general, showed a decreasing trend, and those for woodland, grassland and cropland

showed a slightly increasing trend. Moreover, there was a significant difference in the comprehensive level of socio-economic development in the countries along the NECBEC region, and an expansionary trend was seen in those countries with higher comprehensive economic development scores, whereby the high-high and low-low cluster zones were distributed in western Europe and northern Central Asia, respectively.

Under the influence of climate change, especially the increased frequency of extreme drought and precipitation events, the areas of other land showed a continuously decreasing trend with an overall decrease of 0.32% per year from 2001 to 2017. The impacts of most socio-economic factors on land cover change have generally shown an increasing trend in the NECBEC region since the start of the 21st century. With initiation of the BRI project in 2013, the intensity of land cover change has generally exhibited an accelerating trend, especially with respect to changes in built-up land and cropland. Thus, in the future, compared to climate change, more attention needs to be paid to the impacts of the BRI, and other economic factors relevant to land cover change, on land resources management and planning in those countries along the NECBEC region.

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